

S/N 09/997,723  
~~RESPONSE TO MISSING PARTS~~

PATENT  
ATTY DOCKET NO. 0214-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AU  
Inventor: Regis Charlot  
Title: Adaptive Data Manager  
  
Serial No: 09/997,723  
Filing Date: November 30, 2001  
Group: 2122  
Examiner: To be assigned

) I hereby certify that this correspondence is being deposited as First Class Mail with the United States Postal Service with sufficient postage for First Class mail in an envelope for First Class mail addressed to Assistant Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on the date indicated below.

) ) Signed: Richard P. Beem  
Richard P. Beem  
)

) Dated: February 28, 2002

)  
)  
)  
)

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

## **RESPONSE TO NOTICE OF MISSING PARTS**

Sir,

Submitted herewith are the following documents to complete the filing of utility patent application SN 09/997,723. This response is timely, within two months of the date of the Notice to File Missing Parts, dated 12/28/01. The documents included with this response are:

1. Notice to File Missing Parts of Application
  2. Application Declaration by the Inventors, 37 CFR 1.63
  3. Abstract of the Disclosure, 37 CFR 1.72(b)
  4. Fee Transmittal with fee payment of \$435.00 including:
    - statutory basic filing fee for a small entity of \$370.00
    - fee for filing the oath or declaration late of \$65.00

The following documents are also submitted herewith,

1. Power of Attorney for each of 4 inventors
2. Information Disclosure Statement by Applicant (37 CFR 1.97, submitted within 90 days of the filing date, 11/30/01)
3. Copies of the Cited References for the Information Disclosure Statement
4. Preliminary Amendment

If there are any charges in connection with the prosecution of this application that are not otherwise paid, please charge the deposit account for Beem Patent Group; the application and deposit check for which were submitted January 25, 2002, and for which an account number is to be assigned.

Respectfully submitted,

Dated this 28th day of February, 2002.

Richard P. Beem

Richard P. Beem  
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Tel. (312) 201-0011



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type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/997,723
		Filing Date	11/30/01
		First Named Inventor	Regis Charlot
		Group Art Unit	2122
		Examiner Name	
Total Number of Pages in This Submission	248	Attorney Docket Number	0214-0001

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Declaration</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<b>Abstract</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard P. Beem
Signature	<i>Richard P. Beem</i>
Date	February 28, 2002

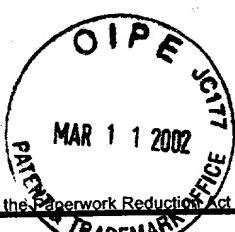
### CERTIFICATE OF MAILING

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**Feb. 28, 2002**

Typed or printed name	Richard P. Beem
Signature	<i>Richard P. Beem</i>
Date	February 28, 2002

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 435)

## Complete if Known

Application Number	09/997,723
Filing Date	11/30/01
First Named Inventor	Regis Charlot
Examiner Name	
Group Art Unit	2122
Attorney Docket No.	0214-0001

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	65
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 65)

\*or number previously paid, if greater; For Reissues, see above

Complete (if applicable)

SUBMITTED BY	Richard P. Beem	Registration No (Attorney/Agent)	32,961	Telephone	312-201-0011
Name (Print/Type)				Date	Feb. 28, 2002
Signature	<i>Richard P. Beem</i>				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,723	11/30/2001	Regis Charlott	0214-0001

## CONFIRMATION NO. 4094

## FORMALITIES LETTER



\*OC000000007237955\*

Richard P. Beem  
 Beem Patent Group  
 Suite 3600  
 135 S. La Salle St.  
 Chicago, IL 60603-4110

Date Mailed: 12/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

03/14/2002 MBERHE 00000118 09997723

01 FC:201  
 02 FC:205

370.00 OP  
 65.00 OP

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY